

WHEREAS, it has been alleged by Ms. Barbara Nicholson that Ms. Hauser signed her name to an application for a Medicare Advantage Plan with United Health Insurance Company

WHEREAS, North Carolina Gen. Stat. § 58-33-46(a)(10) authorizes the Commissioner of Insurance to place on probation, suspend, revoke, or refuse to renew any license issued under Article 33 of the North Carolina General Statutes for forging another's name to an application for insurance or to any document related to an insurance transaction; and

WHEREAS, North Carolina Gen. Stat. § 58-33-46(a)(2) authorizes the Commissioner of Insurance to place on probation, suspend, revoke, or refuse to renew any license issued under Article 33 of the North Carolina General Statutes for violating any insurance law of this or any other state; and

WHEREAS, Ms. Hauser currently holds a producer's license with authority for Life and Accident & Health or Sickness lines of insurance and a Medicare Supplement-Long Term Care license issued by the Department; and

WHEREAS, the Department has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agents; and

NOW COME, Sharlene A. Hauser (hereinafter "Ms. Hauser") and the North Carolina Department of Insurance (hereinafter "Department"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter "this Agreement").

IN THE MATTER OF THE LICENSE OF SHARLENE A. HAUSER  
LICENSE NO. 0015601738  
VOLUNTARY SETTLEMENT AGREEMENT

STATE OF NORTH CAROLINA  
COUNTY OF WAKE  
BEFORE THE COMMISSIONER OF INSURANCE

NORTH CAROLINA DEPARTMENT OF INSURANCE  
RALEIGH, NORTH CAROLINA

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1. Immediately upon the signing of this Agreement, Ms. Hauser shall pay a civil penalty of \$250.00 to the Department. The form of payment shall be by certified check, cashier's check or money order. The check or money order for the payment of this civil penalty shall be payable to the "North Carolina Department of Insurance." Ms. Hauser shall remit the civil penalty by certified mail, return receipt requested, to the Department along with a copy of this signed Agreement. The civil penalty and the signed Agreement must be received by the Department no later than **October 30, 2015**. The civil penalty shall be subject to disbursement in accordance with the provisions of Article IX, Section 7 of the North Carolina Constitution for the benefit of public schools.
2. This Agreement does not in any way affect the Department's disciplinary power in any future examinations or investigations of Ms. Hauser, or in any other complaints involving Ms. Hauser.
3. Ms. Hauser enters into this Agreement freely and voluntarily and with the knowledge of her right to have an administrative hearing on this matter. Ms. Hauser understands she may consult with an attorney prior to entering into this Agreement.
4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Ms. Hauser understands that North Carolina Gen. Stat. § 58-33-46(a)(2) provides that an agent's license may be revoked for violating an Order of the Commissioner.

**NOW, THEREFORE**, in exchange for the consideration and promises and agreements set out herein, Ms. Hauser and the Department hereby agree to the following:

through the American Association of Retired Persons without Ms. Nicholson's knowledge or consent, a violation of North Carolina Gen. Stat. § 58-33-46(a)(10); and

**WHEREAS**, Ms. Hauser has admitted to this violation of North Carolina Gen. Stat. § 58-33-46(a)(10); and

**WHEREAS**, Ms. Hauser has agreed to settle, compromise, and resolve the matters referenced in this Agreement, and the Department has agreed not to pursue additional penalties, sanctions, remedies, or restitution based on these matters against Ms. Hauser; and

**WHEREAS**, the parties to this Agreement mutually wish to resolve these matters by consent before the Department initiates an administrative hearing, and have reached a mutually agreeable resolution of these matters as set out in this Agreement.

5. This Agreement, when finalized, will be a public record and will not be held confidential by the Department. Following the execution of this Agreement, any and all licenses issued by the Department to Ms. Hauser shall reflect that Regulatory Action has been taken against her. The Department is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Department routinely provides copies of voluntary settlement agreement to all companies that have appointed the licensee.
6. The parties have read and understand this Agreement and agree to abide by the terms and conditions stated herein.
7. Be aware that if a state or federal regulator other than the N. C. Department of Insurance has issued an occupational or professional license to you, that regulator may require you to report this administrative action to it. The N.C. Department of Insurance cannot give you legal advice as to the specific reporting requirements of other state or federal regulators.

This the 19th day of October, 2015.  
*November*

North Carolina Department of Insurance

Sharlene A. Hauser  
 License No. 0015601738

By:   
 Angela K. Ford  
 Senior Deputy Commissioner



11-17-15

