

BIOGRAPHICAL AFFIDAVIT

Please submit this form to: SpecialEntitiesSubmissions@ncdoi.gov

Full Name and Address of Company (Do Not Use Group Names)

In connection with the above-named company, I herewith make representations and supply information about myself as hereinafter set forth. (Attach addendum or separate sheet if space hereon is insufficient to answer any question fully.) IF ANSWER IS "NO" OR "NONE", SO STATE.

1. Applicant's Full Name (Initials Not Acceptable)
2. a. Have you ever had your name changed? Yes No If yes, give the reason for the change

b. Other names used at any time
3. Applicant's Business Address

Business Telephone
4. Education: Dates, Names, Locations and Degrees. College

Graduate Studies

Other(s)
5. Present or Proposed Position with the Applicant Company
6. a. Have you ever been in a position which required a fidelity bond? Yes No If any claims were made on the bond, give details

b. Have you ever been denied an individual or position schedule fidelity bond, or had a bond canceled or revoked? Yes No If yes, give details
7. Have you ever been adjudged a bankrupt? Yes No

(Continue)

8. a. Have you ever been convicted or had a sentence imposed or suspended or had pronouncement of a sentence suspended or been pardoned for conviction of or pleaded guilty or nolo contendere to an information or indictment charging any felony, or charging a misdemeanor involving embezzlement, theft, larceny, or mail fraud, or charging a violation of any corporate securities statute or any insurance law, or have you been subject to any disciplinary proceedings of any federal or state regulatory agency? Yes No If yes, give details
- b. Has any company been so charged, allegedly as a result of any action or conduct on your part?
Yes No
If yes, give details
9. Have you ever been an officer, director, trustee, investment committee member, key employee, or controlling stockholder of any corporation which, while you occupied any such position or capacity with respect to it, become insolvent or was placed under bankruptcy? Yes No If yes, give details

Dated and Signed this _____ day of _____, _____, at

_____. I hereby certify that I am acting on my own behalf, and that the foregoing statements are true and correct to the best of my knowledge and belief.

Signature of Applicant

Printed Name of Applicant