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## North Carolina Department of Insurance

Mike Causey, Commissioner

### Professional Employer Organization

## CEO and CFO Financial Statement Attestation

Financial Analysis and  
Receivership Division  
Special Entities Section  
1203 Mail Service Center  
Raleigh, NC 27699-1203  
(919) 807-6612  
[www.ncdoi.com](http://www.ncdoi.com)

In accordance with N.C.G.S. § 58-89A-60(b) and § 58-89A-70(d)(1) this form is to be completed and attached to the audited financial statement required to be filed with the North Carolina Department of Insurance at the time of application and within 120 days after the end of each fiscal year.

Applicant, Licensee, or Guarantor Name: \_\_\_\_\_

Fiscal Year Ending (MM/DD/YY): \_\_\_\_\_

In accordance with N.C.G.S. § 58-89A-60(b) and § 58-89A-70(d)(1) the undersigned hereby attest that:

- (i) Each has reviewed the attached financial statement;
- (ii) based on each signatory's knowledge, the attached financial statement does not contain any untrue or misleading statement of material fact or omit a fact with respect to the period covered by the financial statement; and
- (iii) based on each signatory's knowledge, the attached financial statement fairly presents in all material respects the financial condition of the applicant / licensee / guarantor as of, and for, the period presented in the financial statement.

\_\_\_\_\_  
Signature of Chief Executive Officer

\_\_\_\_\_  
Signature of Chief Financial Officer

\_\_\_\_\_  
(Print Name)

Chief Executive Officer

\_\_\_\_\_  
(Print Name)

Chief Financial Officer