

MEMORANDUM

To: Licensed Viatical Settlement Providers and Viatical Settlement Brokers

From: Rebecca Hill, Supervisor
Life and Health Division

RE: Viatical Settlement Provider License Annual Report
Viatical Settlement Broker Annual Report

Viatical Settlement Annual reports are due by **June 1 reporting** business from the prior calendar year, pursuant to Title 11 NCAC 12.1714. To facilitate consistency in this report please use the Viatical Settlement Provider Report.

Viatical Settlement Providers use the reporting form from the NAIC Model Regulation. This consist of reports with the form numbers VSPB 001, VSP 002 and VSP 003. North Carolina only requires information for NC transactions and not all states. This form can be downloaded from the Department's website here:
http://www.ncdoi.com/LH/Annual_Reporting_Formats.aspx

Viatical Settlement Brokers can use the reporting form from the NAIC Model Regulation. This consist of reports with the form numbers VSPB 001 and VSB 002. North Carolina only requires information for NC transactions and not all states. This form can be downloaded from the Department's website here:
http://www.ncdoi.com/LH/Annual_Reporting_Formats.aspx

If information is filed as CONFIDENTIAL OR TRADE SECRET remember to follow our guidelines at: <http://www.ncdoi.com/LH/Documents/Licensing/TradeSecrets.pdf>

Annual Reports should be emailed to LHinbox@ncdoi.gov

Effective January 1, 2010, NCGS 58-2-69(b) added the requirement that an applicant provide an e-mail address to which the Commissioner can send electronic notifications and other messages. If this email is different than the person who will be filing the renewal application, please provide this information for our records.

Include the contact person's e-mail address.

Please contact me if you have any questions.

Rebecca.Hill@ncdoi.gov or 919-733-5060 ext. 355