



North Carolina Department of Insurance

Financial Analysis & Receivership Division - Special Entities Section

New Facility Monthly Status Report

Name of Provider: _____

Name of Facility: _____

For the Month Ending: _____

I. Non-Binding Reservation Agreements (NBRA):

	Column 1	Column 2
	Count	\$
1. Total NBRA Signed Beginning of Month / Total NBRA Funds Held Beginning of Month	[]	\$ []
2. <u>Plus:</u>		
a. NBRA Signed During Month	[]	[]
b. Interest Earned on NBRA Funds Current Month		[]
c. Other (explain)		[]
3. <u>Minus:</u>		
a. NBRA Converted to Binding Agreements	([])	([])
b. NBRA Cancellations	([])	([])
c. Other (explain)		([])
4. Total NBRA Signed End of Month / Total NBRA Funds Held End of Month	[]	\$ []

II. Binding Agreements:

(applicable to Start-Up and Preliminary Certificate holders only)

	Column 1	Column 2
	Count	\$
1. Total Binding Agreements Signed Beginning of Month / Total Funds Held Beginning of Month	[]	\$ []
2. <u>Plus:</u>		
a. Conversions from NBRA	[]	[]
b. Other Independent Living Units (ILUs) Reserved During Month	[]	[]
c. Interest Earned on Binding Agreement Funds Current Month		[]
d. Other (explain)		[]
3. <u>Minus:</u>		
a. Binding Agreement Cancellations	([])	([])
b. If applicable, 25% of Binding Reservation Deposit		([])
c. Other (explain)		([])
4. Total Binding Agreements Signed (ILUs Reserved) End of Month / Total Funds Held End of Month	[]	\$ []
5. Total ILUs Being Developed	[]	
6. Percentage of ILUs Reserved End of Month (Line4/Line 5)	[]	%

III. Total Funds Held in Escrow:

1. Total NBRA Funds		\$
2. Total Binding Agreement Funds		
3. Other (explain)		
4. Total Funds Held in Escrow End of Month		\$

IV. Escrow Account Reconciliation:

A.

1. Beginning Book Balance (last month's ending balance)		\$
2. Add Deposits:		
a. NBRA Deposits (Section I, Column 2, Line 2a)		
b. Binding Reservation Deposits / NBRA Conversions (Section II, Column 2, Line 2a + Line 2b)		
c. Interest Earned (Section I, Column 2, Line 2b + Section II, Column 2, Line 2c)		
d. Other (explain)		
e. Other (explain)		
3. Less Withdrawals:		
a. NBRA Conversions/Cancellations (Section I, Column 2, Line 3a + Line 3b)	()	
b. Binding Reservation Cancellations (Refundable Portion)	()	
c. Binding Reservation Cancellations (Non-Refundable Portion)	()	
d. If applicable, 25% of Binding Reservation Deposit (Section II, Column 2, Line 3b)	()	
e. Depository Fees	()	
f. Other (explain)	()	()
4. Ending Book Balance		✓ \$

B.

1. Balance per Bank Statement (Attach copy of bank statement)		\$
2. Add Deposits in Transit		
3. Less Outstanding Withdrawals	()	
4. Other +/- (explain)		
5. Adjusted Bank Balance (Must Agree to Section IV, Part A, Line 4 and Section III, Line 4)		✓ \$

C.

If the "Ending Book Balance" does not agree to the "Adjusted Bank Balance" please explain:

V. Financing:

Please provide an update regarding any financing or proposed financing for the facility:

VI. Construction:

Please provide an update on the construction of the facility. If construction has begun, provide an estimated percent complete, an anticipated completion date, and any details regarding any delays or areas of conflict:

VII. Other:

In the space below please describe any material developments or pertinent facts regarding the provider or facility, including certificate of need applications, management changes, board changes, etc.:

Under the penalties of perjury, I attest that I have reviewed this report, and to the best of my knowledge and belief it is true, correct and complete.

Signature

Title

Date