

G.S. 150B-21.3A Report for 11 NCAC 01, DEPARTMENTAL RULES

Agency - Department of Insurance

Comment Period - September 16, 2024- November 22, 2024

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 01 .0103	LOCATION AND MAILING ADDRESS	Amended Eff. February 1, 2024	Necessary	No	
		11 NCAC 01 .0107	PUBLIC INFORMATION AND DEPARTMENTAL RECORDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0108	DEFINITIONS AND RULES OF CONSTRUCTION FOR THIS TITLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
	SECTION .0200 - DEPARTMENTAL RULES	11 NCAC 01 .0201	LOCATION OF AND INSPECTION OF DEPARTMENTAL RULES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0204	NOTICE OF RULE-MAKING HEARINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0205	RULE-MAKING HEARINGS: GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0209	INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
	SECTION .0300 - DECLARATORY RULINGS	11 NCAC 01 .0301	DECLARATORY RULINGS: GENERAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0302	PETITION FOR DECLARATORY RULING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0303	RESPONSE OF COMMISSIONER TO PETITION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	

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	SECTION .0400 - ADMINISTRATIVE HEARINGS	11 NCAC 01 .0401	RIGHT TO HEARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0403	REQUEST FOR HEARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0413	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0414	GENERAL PROVISIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0415	ORDER FOR PREHEARING STATEMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0416	DUTIES OF THE HEARING OFFICER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0417	CONSENT ORDER; SETTLEMENT; STIPULATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0418	SETTLEMENT CONFERENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0419	PREHEARING CONFERENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	

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		11 NCAC 01 .0420	CONSOLIDATION OF CASES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0421	DISCOVERY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0422	SUBPOENAS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0423	SANCTIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0424	MOTIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0425	INTERVENTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0426	CONTINUANCES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0427	RIGHTS AND RESPONSIBILITIES OF PARTIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0428	WITNESSES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	

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		11 NCAC 01 .0429	EVIDENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0430	OFFICIAL RECORD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
	SECTION .0600 - GENERAL DEPARTMENTAL POLICIES	11 NCAC 01 .0601	COMMUNICATIONS WITH INSURANCE COMPANIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	
		11 NCAC 01 .0602	INSURANCE COMPANIES' RESPONSE TO DEPARTMENTAL INQUIRIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. April 27, 2019	Necessary	No	