

# 114601

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NORTH CAROLINA DEPARTMENT OF INSURANCE  
RALEIGH, NORTH CAROLINA

STATE OF NORTH CAROLINA  
COUNTY OF WAKE

BEFORE THE COMMISSIONER  
OF INSURANCE

IN THE MATTER OF THE LICENSURE VOLUNTARY SETTLEMENT  
OF ANTONIO CORRAL JR. AGREEMENT  
LICENSE NO. 0014070233

NOW COME, Antonio Corral, Jr. (hereinafter "Mr. Corral") and the North Carolina Department of Insurance (hereinafter "Department"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter "this Agreement").

WHEREAS, the Department has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agents; and

WHEREAS, Mr. Corral currently holds a non-resident producer's license with authority for Personal Lines insurance issued by the Department; and

WHEREAS, N. C. Gen. Stat. § 58-33-32 (k) requires producers to report to the Commissioner any administrative action taken against the producer in another state or by another governmental agency in this State, including enforcement actions taken against the producer by the Financial Industry Regulatory Authority (FINRA), within 30 days after the final disposition of the matter; and

WHEREAS, Mr. Corral entered into a Consent Order with the Illinois Department of Insurance effective June 19, 2017 in which Mr. Corral was fined in the amount of \$2,500.00 and placed on probation for a period of one (1) year for not making a required disclosure on his application for licensure with respect to a Class 4 Felony conviction in the Circuit Court of Cook County, Illinois on or about April 23, 2015, a violation of Illinois insurance law; and

WHEREAS, Mr. Corral did not report this action to the Department within 30 days after the final disposition of the matter as required by N. C. Gen. Stat. § 58-33-32 (k), and therefore was in violation thereof; and

WHEREAS, N. C. Gen. Stat. § 58-33-46 (a) (1) provides that the Commissioner may place on probation, suspend, revoke, or refuse to renew any license issued under Article 33 of Chapter 58 of the General Statutes of North Carolina for providing materially incorrect, misleading, incomplete, or materially untrue information in the license application; and

**WHEREAS**, Mr. Corral has been first active as a licensee in North Carolina since October 30, 2015 and answered "No" to the question on his initial application for license as a non-resident producer to the question thereon regarding prior criminal charges and/or convictions; and

**WHEREAS**, information obtained by the Department indicated that on or about November 1, 2012 the States Attorney of Cook County Illinois filed an Indictment/Information with the Clerk of the Circuit Court charging Mr. Corral with seven felony counts under Case No. 12CR2026701; and

**WHEREAS**, information obtained by the Department indicated that on or about April 23, 2015, Mr. Corral pled and was found guilty of Driving while Driver's License is Suspended or Revoked/SSS 3<sup>rd</sup>, a Class 4 Felony under Case No. 12CR2026701, in the Circuit Court of Cook County, Illinois, although additional information indicated that a motion to vacate the judgment was allowed by the Court on May 4, 2017, but was in force at the time of Mr. Corral making application for licensure in this State; and

**WHEREAS**, Mr. Corral admits to these violations of N. C. Gen. Stat. §§ 58-33-32 (k) and 58-33-46 (a) (1); and

**WHEREAS**, N. C. Stat. § 58-33-46 (a) (2) provides that the Commissioner may place on probation, suspend, revoke, or refuse to issue or renew any license issued under Article 58 of the General Statutes of North Carolina for violating any insurance law of this or any other state, violating any administrative rule, subpoena, or order of the Commissioner or of another state's regulator; and

**WHEREAS**, Mr. Corral has agreed to settle, compromise, and resolve the matters referenced in this Agreement on behalf of herself, and the Department has agreed not to pursue additional penalties, sanctions, remedies, or restitution based on these matters against Mr. Corral; and


**WHEREAS**, the parties to this Agreement mutually wish to resolve this matter by consent before the Department initiates an administrative hearing and have reached a mutually agreeable resolution of this matter as set out in this Agreement.

**NOW, THEREFORE**, in exchange for the consideration and promises and agreements set out herein, Mr. Corral and the Department hereby agree to the following:

1. Immediately upon the signing of this Agreement, Mr. Corral shall pay a civil penalty of **\$500.00** to the Department. The form of payment shall be by certified check, cashier's check or money order. The check or money order for the payment of this civil penalty shall be payable to the "North Carolina Department of Insurance." Mr. Corral shall remit the civil penalty by certified mail, return receipt requested, to the Department along with a copy of this signed Agreement. The civil penalty and the signed Agreement must be received by the Department no later than **April 22, 2019**. The civil penalty shall be subject to disbursement in accordance with the provisions of Article IX, Section 7 of the North Carolina Constitution for the benefit of public schools.
2. This Agreement does not in any way affect the Department's disciplinary power in any future examination of Mr. Corral or in any other complaints involving Mr. Corral.

3. Mr. Corral enters into this Agreement, on behalf of herself, freely and voluntarily and with the knowledge of her right to have an administrative hearing on this matter. Mr. Corral understands she may consult with an attorney prior to entering into this Agreement.
4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Corral understands that N.C.G.S. § 58-33-46 (a) (2) provides that a producer's license may be revoked for violating an Order of the Commissioner.
5. This Agreement, when finalized, will be a public record and will **not** be held confidential by the Department. Following the execution of this Agreement, all licenses issued by the Department to Mr. Corral shall reflect that Regulatory Action has been taken against her. The Department is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Department routinely provides copies of voluntary settlement agreement to all companies that have appointed the licensee.
6. The parties have read and understand this Agreement and agree to abide by the terms and conditions stated herein.
7. Be aware that if a state or federal regulator other than the N. C. Department of Insurance has issued an occupational or professional license to you, that regulator may require you to report this administrative action to it. The N.C. Department of Insurance cannot give you legal advice as to the specific reporting requirements of other state or federal regulators.

N. C. Department of Insurance

  
By: Antonio Corral, Jr.  
License No. 0014070233

  
By: Angela Hatchell  
Deputy Commissioner

Date: 4-15-2019

Date: 4/24/19