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**NORTH CAROLINA DEPARTMENT OF INSURANCE  
RALEIGH, NORTH CAROLINA**

**STATE OF NORTH CAROLINA  
COUNTY OF WAKE**

**BEFORE THE COMMISSIONER  
OF INSURANCE**

**IN THE MATTER OF THE LICENSURE  
OF GEORGE BATTLE  
NPN No. 2037148**

**VOLUNTARY SETTLEMENT  
AGREEMENT**

NOW COME George Battle (hereinafter "Mr. Battle") and the North Carolina Department of Insurance (hereinafter ADepartment@), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter Athis Agreement@):

WHEREAS, the Department has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agents; and

WHEREAS, Mr. Battle is a Pennsylvania resident with an active license issued by the Department in the area of Medicare Supplement/Long Term Care and his National Producer Number is 2037148; and

WHEREAS, on or about , Mr. Battle received regulatory action on or about April 6, 2016 in the form of a denial by the California Department of Insurance concerning his failure to disclose five previous other states' regulatory actions; and

WHEREAS, Mr. Battle did not report the aforementioned California regulatory action to the Department until August 2016; and

WHEREAS, Mr. Battle's failure to report the California regulatory action to the Department within thirty (30) days is a violation N.C.G.S. § 58-33-32(k) for which his license could be revoked; and

WHEREAS, Mr. Battle has agreed to settle, compromise, and resolve the matters referenced in this Agreement, and the Department has agreed not to pursue additional penalties, sanctions, remedies, or restitution based on these matters against Mr. Battle; and

WHEREAS, pursuant to N.C.G.S. § 58-2-70(g), the Commissioner of Insurance and the Department have the express authority to negotiate a mutually acceptable agreement with any person as to the status of the person's license or certificate or as to any civil penalty or restitution; and

WHEREAS, the parties to this Agreement mutually wish to resolve this matter by consent before the Department initiates an administrative hearing concerning this matter; and

WHEREAS, the parties to this Agreement have reached a mutually agreeable resolution of this matter as set out in this Agreement;

NOW, THEREFORE, in exchange for, and in consideration of the promises and agreements set out herein, the Department and Mr. Battle hereby agree to the following:

1. Mr. Battle agrees to pay a civil penalty of **two hundred and fifty dollars (\$250.00)** to the Department. The civil penalty must be in the form of a check, cashier's check or money order, and must be received by the Department contemporaneously with the executed Voluntary Settlement Agreement, signed by Mr. Battle, no later than **October 31, 2016**.
2. Mr. Battle enters into this Agreement freely and voluntarily and with knowledge of his right to have an administrative hearing on this matter. Mr. Battle understands that he may consult with an attorney prior to entering into this Agreement.
3. This Agreement does not in any way affect the Department's disciplinary power in any future examinations of Mr. Battle or in any other complaints involving Mr. Battle.
4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Battle understands that N.C.G.S. 58-33-46(a)(2) provides that his licenses may be revoked for violating an Order of the Commissioner.
5. Mr. Battle has read and understands this Agreement and agrees to abide by the terms and conditions stated herein.
6. This Agreement, when finalized, will be a public record and is not confidential. Any and all licenses issued by the Department to the licensee shall reflect that Regulatory Action has been taken against the licensee following the execution of this Agreement. The Department is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Department routinely provides copies of voluntary settlement agreements to the NAIC and all companies that have appointed the licensee.
7. This Voluntary Settlement Agreement shall become effective when signed and attested to by Mr. Battle and the Department.

11th  
This the 5th day of October, 2016.

NORTH CAROLINA DEPARTMENT OF INSURANCE

[Redacted]

George Battle

By: [Redacted] 10-11-16  
Angela Ford  
Senior Deputy Commissioner

SUBSCRIBED AND SWORN TO BEFORE ME  
THIS 5th DAY OF October, 2016  
BY George Battle

[Redacted]

[Signature]

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
PATSY A HALE  
Notary Public  
UPPER DUBLIN TWP, MONTGOMERY COUNTY  
My Commission Expires Jun 14, 2018